



COPY OF PAPERS
ORIGINALLY FILED

Docket No. 21401-7002

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):

[X] Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Commissioner for Patents, Washington D.C. 20231.

Dated: February 21, 2002

Name of Person Certifying: Nancy L. Hug
Printed Name: Nancy L. Hug

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Malcolm J. Simons	Assignee:	GeneType A.G.
Serial No.:	10/005,626	Examiner:	To Be Assigned
Filing Date:	December 3, 2001	Group Art Unit:	1655
Title:	INTRON SEQUENCE ANALYSIS METHOD FOR DETECTION OF ADJACENT AND REMOTE LOCUS ALLELES AS HAPLOTYPES		

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL

Sir:

Enclosed herewith for filing are the following:

- ☒ Request For Corrected Filing Receipt.
- ☒ Copy of Filing Receipt (marked to show changes).
- ☒ Return Postcard
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 50-1189, Billing Ref. 21401-7002. *A duplicate copy of this sheet is enclosed.*

DATE: February 21, 2002

Respectfully submitted,

By: Carol M. Gruppi

Carol M. Gruppi
Registration No.: 37,341

Mailing Address

McCutchen, Doyle, Brown & Enersen, LLP
Three Embarcadero Center
San Francisco, California 94111
Telephone: (650) 849-4902
Telefax: (650) 849-4800



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[] Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner _____ at Facsimile No. _____ at _____ a.m./p.m.

Dated: February 21, 2002

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Office of Initial Patent Examination's
Customer Service Center
Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicant respectfully requests that the Official Filing Receipt (PTO form 103P) for the above-identified patent application, a copy of which is attached with the corrections marked in red ink, be corrected. The Filing Receipt contains an error in the applicant's address and in the Domestic Priority data.

Please correct the Filing Receipt to read as follows:

Please correct the applicant's address to read:

Fryerstown, Victoria, AUSTRALIA

Please correct the Domestic Priority data as follows:

After "This application is a Con of" please insert -09/070,497 10/16/2000 and a Con of--

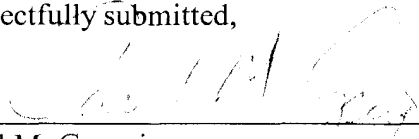


The correction is not due to any error by applicant and no fee is due. If for any reason a fee is found to be necessary, our Deposit Account No. 50-1189 may be charged therefore. A duplicate copy of this sheet is enclosed.

- ☐ At least one of the above corrections is due to applicant's error and the fee under 37 CFR §1.19(h) of \$25.00 is paid as follows:
- ☐ Enclosed is Check No. _____ for \$25.00.
- ☐ Please charge Deposit Account No. _____, in the amount of \$ _____ to cover the above-fees. *A duplicate copy of this sheet is enclosed.*
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1189, Billing Ref. 21401-7002. *A duplicate copy of this sheet is enclosed.*

DATE: February 21, 2002

Respectfully submitted,

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Telephone: (650) 849-4902
Telefax: (650) 849-4800



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Page 1 of 2

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/005,626	12/03/2001	1655	370			3	1

CONFIRMATION NO. 8717

FILING RECEIPT



OC000000007226685

Carol M. Gruppi
McCutchen, Doyle, Brown & Enersen, LLP
18th Floor
Three Embarcadero Center
San Francisco, CA 94111

Date Mailed: 12/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Victoria
Malcolm J. Simons, Fryerstown, AUSTRALIA;

Assignment For Published Patent Application

GeneType A.G.;

Domestic Priority data as claimed by applicant, *09/070,497 10/16/2000 AND A CON OF*

THIS APPLICATION IS A CON OF 09/070,497 04/30/1998
AND A CON OF 08/682,054 07/16/1996 PAT 5,789,568
WHICH IS A DIV OF 07/949,652 09/23/1992 PAT 5,612,179
WHICH IS A CON OF 07/551,239 07/11/1990 PAT 5,192,659
WHICH IS A CIP OF 07/465,863 01/16/1990 ABN
WHICH IS A CIP OF 07/405,499 09/11/1989 ABN
WHICH IS A CIP OF 07/398,217 08/25/1989 ABN

Foreign Applications

If Required, Foreign Filing License Granted 12/21/2001

Projected Publication Date: To Be Determined - pending completion of Sequence Disclosures

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Intron sequence analysis method for detection of adjacent and remote locus alleles as haplotypes

Preliminary Class

536

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

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☐ At least one of the above corrections is due to applicant's error and the fee under 37 CFR §1.19(h) of \$25.00 is paid as follows:

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